ANNEX III
RESPONSE FUNCTIONS – EMERGENCY SUPPORT FUNCTIONS (ESF)

The use of Emergency Support Functions.

A. Purpose.

1. This section provides an overview of the Emergency Support Function (ESF) structure, common elements of each of the EFSs, and the basic content contained in each of the ESF Annexes. The following section includes a series of annexes describing the roles and responsibilities of local departments and agencies as ESF coordinators, primary agencies, support agencies, or cooperating agencies.

2. The scope of each ESF is provided to reflect the range of activities in which the ESF group may find itself tasked. These are broad statements describing policies and procedures of the emergency response organization.

B. Background.

The ESFs provide the structure for coordinating interagency support for a response to an incident or disaster. These are mechanisms for grouping functions most frequently used to provide support for the most common consequences to incidents, declared disasters and emergencies.

Under this approach, it is the consequence, not the cause that is important. The public must be warned, lives must be saved, the injured attended to, the infrastructure restored, order maintained—these functions have to be accomplished regardless of the cause.

C. Definitions.

1. Primary Coordinator: The primary coordinator is the entity, or position, with management oversight for that particular ESF. The coordinator has ongoing responsibilities throughout the preparedness, response, and recovery phases of incident management. Responsibilities of the ESF coordinator include:

   • Coordination before, during, and after an incident, including pre-incident planning, resource plan development, and coordination.

   • Conducting ESF meetings and trainings with the ESF support and cooperating agencies.

2. Primary Agency: An ESF primary agency is an organization, predominantly but not exclusively
a CABQ agency, with significant authorities, roles, resources, or capabilities for a particular function within an ESF. An ESF may have multiple primary agencies; the specific responsibilities are articulated within the relevant ESF Annex. When an ESF is activated in response to an incident, the primary agency is responsible for:

- Providing personnel to the EOC.
- Managing mission assignments and coordinating with support and cooperating agencies.
- Supporting and keeping other ESF’s and organizational elements informed of ESF operational priorities and activities.

3. Support Agencies: Support agencies are those entities, public, private, or non-profit, with specific capabilities or resources that support the primary agency in executing the mission of the ESF. Support agencies should have agreements with the local Emergency Management Agency or the primary agency to provide assistance and participate in the organized disaster management activities. When an ESF is activated in response to an incident, supporting agencies are responsible for:

- Conducting operations, when requested by the EOC Manager or the designated ESF primary agency, consistent with their own authority and resources.
- Participating in planning for short- and long-term incident management and recovery operations and the development of supporting operational plans, SOPs, checklists, or other job aids, in concert with existing first-responder standards.
- Furnishing available personnel, equipment, or other resource support as requested by the EOC Manager or the ESF primary agency.
- Maintaining trained personnel to support interagency emergency response and support teams.
- Participating in training and exercises aimed at continuous improvement of response and recovery capabilities.

4. Cooperating Agencies: Cooperating agencies, sometimes called Adjunct Agencies, are those entities that may have direct interest in or have specific expertise and capabilities to assist the coordinating agency in executing incident-related tasks or processes. These organizations are not part of the local government or may not have agreements pre-established with the local Emergency Management Agency or existing primary agency. When the procedures within a Support Annex are needed to support elements of an incident, the coordinating agency will notify cooperating agencies of the circumstances. When an ESF is activated in response to an incident, cooperating agencies are responsible for:
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• Conducting operations, when requested by the EOC Manager or the designated ESF primary agency, consistent with their own authority and resources.

• Participating in planning for short- and long-term incident management and recovery operations and the development of supporting operational plans, SOPs, checklists, or other job aids, in concert with existing first-responder standards.

• Furnishing available personnel, equipment, or other resource support as requested by the EOC Manager or the ESF primary agency.

• Maintaining trained personnel to support interagency emergency response and support teams.

D. Policies.

Each ESF will utilize resources available and obtainable to accomplish missions/tasks within its defined purpose. Resource shortfalls will be coordinated through the CABQ EOC, so that a formal request can be made for additional resources through the most appropriate means.

E. Concept Of Operations.

1. During smaller emergencies, each agency performs its specialized tasks according to agency operating procedures. During major emergencies, however, there is an increased need for the coordination of all activities relevant to the emergency response and this operation takes place in the EOC.

2. Conflicts that arise during emergency operations will be resolved by the EOC Manager.

3. ESF’s are coordinated through the designated representative of the Primary Agency.

4. Each ESF is required to develop standard operating procedures, notification protocols, contact information, and resource lists.

F. Notification And Activation.

1. The EOC Manager or designee develops and issues operations orders to activate individual ESFs based on the scope and magnitude of the event.

2. ESF primary agencies are notified of the operational orders and time to report to the EOC.

3. ESF primary agencies notify and activate support agencies as required for the event.
G. Roles And Responsibilities.

The response operations of CABQ are divided into the following Emergency Support Functions.

ESF #1 Transportation
ESF #2 Communication And Information Technology
ESF #3 Public Works And Engineering
ESF #4 Fire Fighting
ESF #5 Information, Analysis & Planning
ESF #6 Mass Care, Emergency Assistance
ESF #7 Resource Management and Support
ESF #8 Medical Services
ESF #9 Search And Rescue
ESF #10 Hazardous Materials
ESF #11 Animal & Veterinary Services
ESF #12 Energy & Utilities
ESF #13 Law Enforcement And Security
ESF #14 Public Protection: Alert & Warning; Evacuation; Sheltering
ESF #15 Emergency Public Information
ESF #16 Volunteer & Donations Management
ESF #17 Life Safety And Damage Assessment
ESF #18 Access And Functional Needs
ESF #19 Fatality Management
ESF #20 Environmental And Public Health
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City of Albuquerque
EOC Incident Management

OPERATIONS SECTION ORGANIZATION

Operations Section Chief

- Emergency Services Branch
  - ESF #4 Fire Fighting
    - ESF #10 Hazardous Materials
      - ESF #13 Law Enforcement
        - ESF #14 Public Protection
          - ESF #19 Fatality Management
    - ESF #9 Search & Rescue
      - ESF #10 Hazardous Materials
        - ESF #13 Law Enforcement
          - ESF #14 Public Protection
          - ESF #19 Fatality Management
    - ESF #11 Animal & Veterinary Services

- Human Services Branch
  - ESF #6 Mass Care, Emergency Assistance
    - ESF #8 Medical Services
      - ESF #11 Animal & Veterinary Services
        - ESF #18 Access & Functional Needs
          - ESF #20 Environmental & Public Health
    - ESF #12 Energy & Utilities
      - ESF #18 Access & Functional Needs
        - ESF #20 Environmental & Public Health
    - ESF #3 Public Works

- Infrastructure Services Branch

- Community Service Branch
  - Business/Industry
  - Non-Profits
  - NGOs/CBOs
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EOC Incident Management

PLANNING SECTION ORGANIZATIONAL STRUCTURE
LOGISTICS SECTION ORGANIZATIONAL STRUCTURE

Logistics Section Chief

- ESF #5 Information Analysis
- ESF #2 Communications
- Resource Management
  - ESF #7 Resource Support
- ESF #16 Volunteers

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Comprehensive Emergency Management Plan
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FINANCE SECTION ORGANIZATIONAL STRUCTURE